

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.114

In Re Application Of:
Kei-Yu- Ko

Serial No.
08/846,671

Filing Date
April 30, 1997

Examiner
George Goudreau

Group Art Unit
1763

Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 11675.114
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Serial No. 08/846,671	Filing Date April 30, 1997	Examiner George Goudreau	Group Art Unit 1763
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<p align="center">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p>PTO-2038</p> <p><input checked="" type="checkbox"/> A check in the amount of \$240.00 is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 236178 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of</p> <p><input checked="" type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p>	RECEIVED OCT 16 2000 TC 1100 MAIL ROOM
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<p align="center">Certificate of Transmission by Facsimile*</p> <p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)</p> <p>_____ Signature</p> <p>_____ Typed or Printed Name of Person Signing Certificate</p>

<p align="center">Certificate of Mailing by First Class Mail</p> <p>I certify that this document and fee is being deposited on Oct. 3rd, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Bradley K. DeSandro</i> Signature of Person Mailing Correspondence</p> <p>Bradley K. DeSandro Typed or Printed Name of Person Mailing Correspondence</p>
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*This certificate may only be used if paying by deposit account.

Bradley K. DeSandro
Signature

Dated: **October 3, 2000**

Bradley K. DeSandro
Reg. No. 34,521



022901

PATENT TRADEMARK OFFICE

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei-Yu Ko

Serial No.: 08/846,671

Filed: April 30, 1997

For: UNDOPED SILICON DIOXIDE AS ETCH STOP
FOR SELECTIVE ETCH OF DOPED SILICON
DIOXIDE

Examiner: George Goudreau

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) Art Unit
) 1763

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its

entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.



Statement of References Previously Disclosed
Under 37 C.F.R. § 1.98(d)

The following listed references are not enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited by or submitted to the Office in application number 08/958,290, filed on October 27, 1997, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

United States Patent Documents

<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
5,323,047	6-21-94	Nguyen	257	758	1-31-92
5,485,035	1-16-96	Lin et al.	257	637	12-28-93
4,818,335	4-4-89	Karnett	156	644	5-13-88

Foreign Patent Documents

<u>Patent Number</u>	<u>Issue Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
04-345,054	12-1-92	Japan	--	--	--

Dated this 31st day of October, 2000

Respectfully submitted,

BRADLEY K. DESANDRO
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Registration No. 34,521

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